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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of 4

**Complete if Known**

Application Number	10/658,507
Filing Date	September 9, 2003
First Named Inventor	Mark Reiley
Art Unit	3738
Examiner Name	ISABELLA, DAVID J

Attorney Docket Number 10002-701.411

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
406	US- 2,502,902		4/4/1950	Tofflemire, Benjamin	
405	US- 3,710,789		1/16/1973	Ersek, Robert	
442	US- 3,941,127		3/2/1976	Froning	
403	US- 4,502,161		3/5/1985	Wall, William	
431	US- 4,554,914		11/26/1985	Kapp et al.	
432	US- 4,697,582		10/6/1987	William	
433	US- 4,957,495		9/18/1990	Kluger	
434	US- 5,413,576		5/9/1995	Rivard	
430	US- 5,415,659		5/16/1995	Lee et al.	
404	US- 5,443,483		8/22/1995	Kirsch, Axel	
444	US- 7,302,288		11/27/2007	Schellenberg	
436	US- 2002/0068975-A1		6/6/2002	Teitelbaum et al.	
445	US- 2004/0260305-A1		12/23/2004	Gorensek et al.	
	US-				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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Sheet	2	of	4	Attorney Docket Number	
10002-701.411					

<b>NON PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
	378	REILEY et al; U.S. Pat. App. # 11/577,923 entitled "Crossbar spinal prosthesis having a modular design and systems for treating spinal pathologies" filed 4/25/2007.		
	398	MCLEER, THOMAS, U.S. Pat. App. # 11/934,724 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.		
	399	MCLEER, THOMAS, U.S. Pat. App. # 11/934,720 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.		
	400	MCLEER, THOMAS, U.S. Pat. App. # 11/934,719 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.		
	401	REILEY, MARK, U.S. Pat. App. #11/934,713 entitled "Facet arthroplasty devices and methods" filed 11/2/2007.		
	402	REILEY, MARK, U.S. Pat. App. # 11/939,540 entitled "Facet arthroplasty devices and methods" filed 11/13/2007.		
	408	REILEY, MARK, U.S. Pat. App. # 11/943,458 entitled "Facet arthroplasty devices and methods" filed 11/20/2007.		
	409	REILEY, MARK, U.S. Pat. App. # 11/949,007 entitled "Facet arthroplasty devices and methods" filed 11/30/2007.		
	410	REILEY, MARK, U.S. Pat. App. # 11/949,000 entitled "Facet arthroplasty devices and methods" filed 11/30/2007.		
	411	REILEY et al.; U.S. Pat. App. # 11/948,963 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 11/30/2007.		

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STATEMENT BY APPLICANT***(Use as many sheets as necessary)*

Sheet 3 of 4 Attorney Docket Number 10002-701.411

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	412	REILEY, MARK, U.S. Pat. App. # 11/957,208 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	413	REILEY et al.; U.S. Pat. App. # 11/957,315 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.	
	414	REILEY, MARK; U.S. Pat. App. # 11/957,175 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	415	REILEY et al.; U.S. Pat. App. # 11/957,290 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.	
	416	REILEY, MARK; U.S. Pat. App. # 11/956,961 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	417	REILEY, MARK; U.S. Pat. App. # 11/957,149 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	418	REILEY, MARK; U.S. Pat. App. # 11/957,061 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	419	REILEY et al.; U.S. Pat. App. # 11/957,259 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.	
	420	REILEY, MARK; U.S. Pat. App. # 12/016,177 entitled "Facet arthroplasty devices and methods" filed 01/17/2008.	
	421	KUIPER et al.; U.S. Pat. App. # 11/948,994 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007	

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	422	KUIPER et al.; U.S. Pat. App. # 11/948,973 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007			
	423	KUIPER et al.; U.S. Pat. App. # 11/957,303 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007			
	424	MCLEER, THOMAS; U.S. Pat. App. # 11/952,988 entitled "Polymeric joint complex and methods of use" filed 12/7/2007			
	429	YUAN et al.; U.S. Pat. App. # 12/027,899 entitled "Prostheses, tools and methods for replacement of natural facet joints with artificial facet joint surfaces," filed 2/7/2008			
	452	REILEY et al; U.S. Pat. App. # 12/058,403 entitled "Polyaxial adjustment of facet joint prostheses," filed 3/28/2008.			

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